(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date 25 October 2001 (25.10.2001)

PCT

(10) International Publication Number WO 01/79400 A2

(51) International Patent Classification⁷: C11B 1/10, A23D 9/02, 9/007, A23L 1/30, A61K 7/00

(21) International Application Number: PCT/US01/11571

(22) International Filing Date: 11 April 2001 (11.04.2001)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/197,134

14 April 2000 (14.04.2000)

(71) Applicant: MARS, INC. [US/US]; 6885 Elm Street, McLean, VA 22101-3883 (US).

(72) Inventors: ROMANCZYK, Leo, J., Jr.; 4 Fern Drive RD #3, Hackettstown, NJ 07840 (US). MCCLELLAND, Craig; 125 Stoneleigh Drive, E. Stroudsburg, PA 18301 (US).

(74) Agent: KELLEY, Margaret, B., Clifford Chance Rogers & Wells LLP, 200 Park Avenue, New York, 10166 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

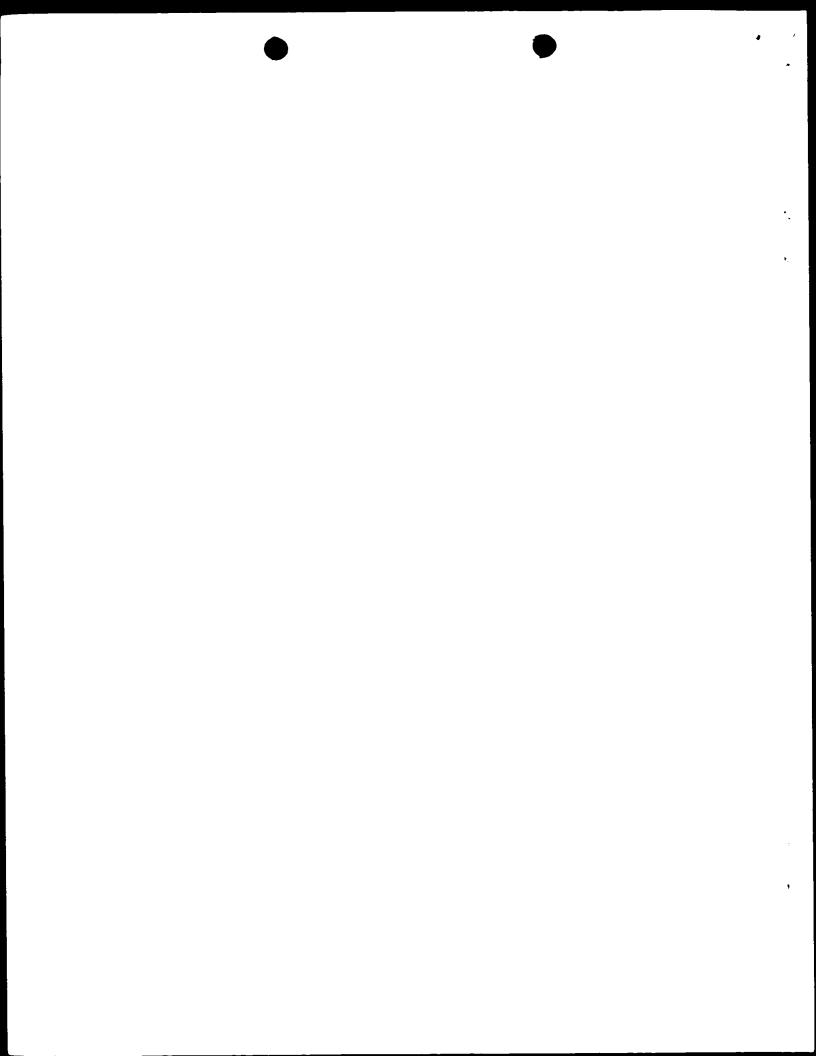
 without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



(54) Title: EXTRACTION OF STEROLS FROM COCOA HULLS

(57) Abstract: Cocoa oils containing phytosterols and tocols are prepared by extracting the cocoa hulls from dried unfermented or fermented cocoa beans, micronized cocoa beans, or roasted beans with a solvent such as petroleum ether and then removing the solvent. The cocoa oils are useful in foods, dietary supplements, pharmaceuticals, and cosmetics.



EXTRACTION OF STEROLS FROM COCOA HULLS CROSS REFERENCE TO RELATED APPLICATION

This application claims the benefit of filing date of U.S. provisional application Serial No. 60/197,134 entitled EXTRACTION OF STEROLS FROM COCOA HULLS which was filed on April 14, 2000.

5

15

20

25

BACKGROUND OF THE INVENTION

Field of the Invention

This invention is directed to the extraction of valuable by-products from cocoa hulls.

Description of Related Art

Cocoa hulls are a waste by-product of the roasting of cocoa beans and have little value in chocolate manufacturing. Generally, the cocoa hulls are used as compost.

Seventeen *Herrania* and 22 *Theobroma* species have been described. See Schultes, R.E., *J. Arnold Arb.*, 39:216, 1958; Cuatrescasas J., *Cont. Nat. Herb.* (USA), 35:379, 1964; and Wood, G.A.R. and R.A. Lass, Cocoa, 4th edn., Longman Inc., New York, 1985, pp. 11-37. Of these, *Theobroma cacao* is the only species of major economic importance because its fat rich seeds are the unique source of cocoa solids and cocoa butter used by the confectionery industry. Cocoa beans are produced by cocoa trees which are found in warm, moist climates in areas about 20 degrees latitude north and south of the Equator. In general, the seeds of the *Theobroma cacao* (of the order Sterculiacae) are known chiefly in two varieties: Criollo and Forastero, with Forastero divided into several varieties. A third group, called Trinitario, is essentially a cross between Criollo and Forastero and is not found in the wild. Criollo beans are pale brown in color while Forastero beans are a purple hue. The cocoa tree produces leaves, flowers and fruit throughout the

year, and the ripe fruit or pod resembles a long cantaloupe, typically containing from about 20 to 40 almond-shaped cocoa beans.

5

10

15

20

25

30

The cocoa bean is comprised of an inner nib portion covered by an outer shell, also referred to as the hull. On a dry basis, the shell of the bean comprises about 12 to 15% of the weight of the bean, while the nib and residual moisture amounts to approximately 85 to 88%. Typical analytical data ranges for chemical components of cocoa nib are: fat content of 48 to 57%; theobromine content of 0.8 to 1.3%; caffeine content of 0.1 to 0.7%; total nitrogen content of 2.2 to 2.5%; ash content of 2.6 to 4.2%; and water content of 2.3 to 3.2% (see *Pearson's Composition and Analysis of Foods, 9th Edition,* 1991).

Various processes are traditionally employed to extract cocoa butter and cocoa solids from commercial cocoa beans. Typical methods of processing cocoa beans include the steps of (a) bean cleaning; (b) bean roasting; (c) bean winnowing; (d) nib grinding; (e) liquor pressing to produce cocoa butter and cocoa cake, also referred to as partially defatted cocoa solids; (f) optionally cake alkalizing; and (d) cake milling.

The initial step of typical cocoa bean processing methods consists of cleaning the beans to remove extraneous non-cocoa materials. Conventional bean cleaning separates beans from extraneous non-cocoa materials by either size or density using a cleaning machine which is a gravity, vibratory or aspiration table (See Chocolate, Cocoa and Confectionery: Science and Technology, 3rd Ed., by Bernard W. Minifie, page 35; Chocolate Production and Use, 3rd Ed., by L. Russell Cook, page 144-146; and Industrial Chocolate Manufacture and Use, 2nd Ed., by S.T. Beckett, page 55.

In most conventional processes, roasting of the whole bean or nib is an essential step in the manufacture of chocolate or cocoa. Roasting develops the natural flavor and aroma of the cocoa beans, and also loosens the shell so that it can be readily removed during the winnowing process. The degree of cocoa roast is a time/temperature dependent relationship, where the time can vary from 5 to 120 minutes and the temperature of the whole bean can typically vary from 125° C.,

and with respect to the roasting of nibs, an initial drying process step can be at just below 100° C. to remove the shell, with second stage roasting of nibs alone being at elevated temperatures up to about 130° C.; all of which depend on the construction of the machine, size of the batch and final product desired (see *Chocolate, Cocoa and Confectionery: Science and Technology, 3rd Ed.*, by Bernard W. Minifie, especially page 37, 45-46; *Chocolate Production and Use, 3rd Ed.*, by L. Russell Cook, page 146-152; and *Industrial Chocolate Manufacture and Use, 2rd Ed.*, by S. T. Beckett, page 55-64) hereby incorporated by reference). U.S. Pat. No. 5.252.349 to Carter, Jr., hereby incorporated by reference), involves heating the bean to a temperature of about 152° C. to 160° C. for about 5 to 8 minutes.

An alternative method for directly processing cocoa beans to cocoa butter and partially defatted cocoa solids is disclosed in U.S. 6,015,913 (issued Jan. 18, 2000 to Kealey et al.), the disclosure of which is incorporated herein by reference. The method involves heating the cocoa beans for a time and at an internal bean temperature sufficient to loosen the cocoa shell without roasting the nib, winnowing the nibs from said shells, and screw pressing the nibs to produce cocoa butter and partially defatted cocoa solids. Typically, the internal bean temperature is about 100-110°C, preferably less than about 105°C and typically, the heating is carried out by infra red heating in a micronizer. The winnowing is carried out in an air fluidized bed density separator. The loss of cocoa polyphenols including cocoa procyanidins is minimized because the temperatures used are lower than those used in a process.

The winnowing operation serves to separate the beans into the desired inner portion of the bean (nib) and the outer portion of the bean (shell or hull). The principle of separation by a winnowing process depends on the difference in the apparent density of the nib and of the shell. Standard winnowing machines make use of the combined action of sieving and air aspiration. As discussed earlier, the shell is loosened during the conventional roasting step and/or other heating or drying steps. After loosening, the beans are typically broken between rollers or such devices to shatter the cocoa beans along natural fracture lines of the cocoa nib

to facilitate shell removal during winnowing (see U.S. Pat. No. 2,417,078 to Jones, U.S. Pat. No. 5,252,349 to Carter, Jr., hereby incorporated by reference, *Chocolate, Cocoa and Confectionery: Science and Technology, 3rd Ed.*, by Bernard W. Minifie, pp. 47-51; *Chocolate Production and Use, 3rd Ed.*, by L. Russell Cook, pp. 152-153; and *Industrial Chocolate Manufacture and Use, 2rd Ed.*, by S. T. Beckett, page 67-68.

5

10

15

20

25

Some cocoa bean processing techniques include the use of thermal pretreatment equipment to aid in the separation of the shell from the nib. This involves giving the beans a thermal shock by hot air, steam or infra-red heat (see U.S. Pat. No. 4,322,444 to Zuilichem et al., and British Patent No. 1, 379, 116 to Newton, Chocolate, Cocoa and Confectionery: Science and Technology, 3rd Ed., by Bernard W. Minifie page 44-45; Chocolate Production and Use, 3rd Ed., by L. Russell Cook, page 155; and Industrial chocolate Manufacture and Use, 2nd Ed., by S. T. Beckett, page 60-62, hereby incorporated by reference).

Infra-red pre-treatment uses infra-red heating to rapidly heat and expand the beans which assists in loosening the shells. The method consists of treating the beans with infra-red radiation for a period between one-half and two minutes, during which time the beans are typically heated to a temperature of about 100 to 110° C. The infra-red radiation used has a wavelength between 2 and 6 microns which corresponds to a frequency in the range of 0.7 to 1.2 x 10⁸ megacycles per second. This energy penetrates and excites the molecules of the bean which causes them to vibrate at their own frequency and results in rapid heating of the beans.

Cocoa hulls resulting from the above processes are removed from further cocoa/chocolate manufacturing steps. As such, the cocoa hulls are considered a waste by-product with little economic value, although they are used for mulching or composting applications.

SUMMARY OF THE INVENTION

As used herein, the term "phytosterols" refers to lipid mixtures obtained from a plant source containing free or bound sterols. A cocoa oil which contains

phytosterols is prepared by extracting cocoa hulls with a solvent for the phytosterols. The phytosterols are a mixture of free and bound sterols, with the free sterols being up to about 90% of the phytosterols present. The phytosterols include campesterol, \(\mathbb{B}\)-sitosterol, stigmasterol, cycloartanol, 24-methylene cycloartenol, as well as minor amounts of other phytosterols. The bound phytoserols include the fatty acid ester or ferulate derivatives of the phytosterols. The cocoa oil can further comprise tocopherols and tocotrienols which are members of the tocol family.

The process for extracting the cocoa oil comprises the steps of: a) grinding the cocoa hulls; b) extracting the ground cocoa hulls with a solvent for the phytosterols; c) removing the solvent; and d) recovering the cocoa hull oil.

10

15

20

25

The phytosterol-containing cocoa oil can be included in foods, dietary supplements, pharmaceuticals, and cosmetics.

DETAILED DESCRIPTION OF THE INVENTION

Cocoa beans can be divided into four categories based on their color: predominately brown (fully fermented), purple/brown, purple, and slaty (unfermented). Preferably, as indicated above, the cocoa oil is prepared from underfermented cocoa beans which have a higher cocoa phytosterol content than fermented beans. Underfermented beans include slaty cocoa beans, purple cocoa beans, mixtures of slaty and purple cocoa beans, mixtures of purple and brown cocoa beans, or mixture of slaty, purple, and brown cocoa beans. More preferably, the cocoa beans are slaty and/or purple beans. Underfermented beans typically have a fermentation factor of 275 or less.

The "fermentation factor" is determined using a grading system for characterizing the fermentation of the cocoa beans. Slaty is designated 1, purple is 2, purple/brown is 3, and brown is 4. The percentage of beans falling within each category is multiplied by the weighted number. Thus, the "fermentation factor" for a sample of 100% brown beans would be 100 x 4 or 400, whereas for a 100%

sample of purple beans it would be 100×2 or 200. A sample of 50% slaty beans and 50% purple beans would have a fermentation factor of $150 (50 \times 1) + (50 \times 2)$.

The preferred solvents are petroleum ether, hexane, pentane, and ethyl ether. The solvent can be recovered by vacuum distillation or other conventional methods.

The phytosterols may be purified by preparative high pressure liquid chromatography or column chromatography.

5

10

15

20

25

The cocoa oil, particularly the purified cocoa oil, may be useful in foods, as a food additive, in a dietary supplement, or in a pharmaceutical. The cocoa oil may be used with a carrier, a diluent, or an excepient. The carrier, diluent, or excepient selected will depend on the particular end use, for example, for human or veterinary use, whether as a food, food additive, or dietary supplement or in a pharmaceutical.

As used herein a "food" is a material consisting essentially of protein, carbohydrate and/or fat, which is used in the body of an organism to sustain growth, repair and vital processes and to furnish energy. Foods may also contain supplementary substances such as minerals, vitamins and condiments. See Merriam-Webster's Collegiate Dictionary, 10th Edition, 1993. The term food includes a beverage adapted for human or animal consumption. As used herein a "food additive" is as defined by the FDA in 21 C.F.R. 170.3(e)(1) and includes direct and indirect additives. As used herein, a "pharmaceutical" is a medicinal drug. See Merriam-Webster's Collegiate Dictionary, 10th Edition, 1993. A pharmaceutical may also be referred to as a medicament. As used herein, a "dietary supplement" is a product (other than tobacco) that is intended to supplement the diet that bears or contains one or more of the following dietary ingredients: a vitamin, a mineral, an herb or other botanical, an amino acid, a dietary substance for use by man to supplement the diet by increasing the total daily intake, or a concentrate, metabolite, constituent, extract or combination of these ingredients.

The cocoa oil, particularly the purified cocoa oil, may be used in cosmetics. The cosmetics are formulated using conventional methods known in the art. Typical cosmetics include creams, lotions, gels, conditioners, shampoos, soaps, dyes, and other compositions for external use. The cocoa oils may be used with other conventional cosmetically acceptable ingredients such as moisturizers (e.g., cetyl alcohol, dimethicone silicon, isopropyl lanolate, myrisate, or palmitate, lanolin and lanolin alcohols and oils, octyl dodecanol, oleic acid, panthenol, stearic acid, and stearyl alcohol), preservatives (e.g., trisodium and tetrasodium edetate and tocopherol), antioxidants such as vitamins, antimicrobials (e.g., butyl, propyl, ethyl, and methyl parabens, DMDM hydantoin, methylisothiazolinone, phenoxyethanol, and quaternium -15), thickeners (e.g., candelilla, carnuba, and microcrystalline waxes and carbomer and polyethylene thickeness), solvents (e.g., butylene glycol and propylene glycol, cyclomethicone, ethanol, glycerin), emulsifiers (e.g., glyceryl monostearate and lauramide DEA, and polysorbates), color additives such as synthetic organic colors derived from coal and petroleum sources (e.g., D&C Red No. 7 Calcium Lakes) and inorganic pigments (e.g., iron oxides and mica), hair dyes such as phenol derivatives (e.g., aminophenols), pH adjusters (e.g., ammonium hydroxide, citric acid, and triethanolamine), and other FDA approved ingredients such as magnesium aluminum silicate, silica, and talc (absorbents), sodium lauryl sulfate (a detergent), stearic acid (a cleansing emulsifier), and zinc stearate (a lubricant).

5

10

15

20

25

30

Sterols, particularly phytosterols, can be used in a variety of products including pharmaceuticals, cosmetics, vitamins, foods, and dietary supplements. The ferulated polysterols are particularly useful as antioxidants.

The sterol amounts reported in the following examples were determined using the analytical procedure described in Rogers et al., "Identification and Quantitation of gamma-Oryzanol Components and Simultaneous Assessment of Tocols in Rice Bran Oil", *J. Amer. Oil Chem. Soc.* 70(30) 1993 and Carpenter, et al., "Lipid Composition of *Herrania* and *Theobroma* Seeds, *J. Amer. Oil Chem. Soc.* 71(8) 1994.

Examples

Example 1

Plant material. Pods were obtained from the Centro Agronomico Tropical de Investigacion y Ensenanza (CATIE) germplasm collection at Turrialba, Costa Rica, and from the Comissao Executiva do Plano da Lavoura Cacaueira (CEPLAC) cocoa germplasm collection (Belem, Brazil).

5

10

15

20

Reagents. Pyridine N,O-bis(trimethylsilyl)trifluoroacetamide (BSTFA) + trimethylchlorosilane, cholesterol, campesterol, stigmasterol, β-sitosterol, α-tocopherol and δ-tocopherol were obtained from Sigma Chemical Company (St. Louis, MO). β-tocopherol was obtained from Matreya (Pleasant Gap, PA) and gamma-tocopherol from Fluka (Ronkonkoma, NY). Cycloartenol and 24-methylene cycloartanol were purified from hydrolyzed γ-oryzanol (Farmingdale, NY) as described by Rogers et al. (9). Tocotrienols (alpha, beta, and gamma) were a generous gift from Hoffman La Roche (Basel, Switzerland).

Cocoa seeds with pulp were removed from *Theobroma* cocoa pods and freeze-dried on a Labconco (Kansas City, MO) Freeze Dry System. The pulp and hulls were manually removed, and the freeze-dried hulls were ground to a fine powder with a Tekmar Mill (Cincinatti, OH). The ground mass was subjected to overnight extraction with redistilled petroleum ether (b. p. 38-39.6°C) in a Soxtec apparatus (Fisher Scientific, Springfield, NJ). The solvent was carefully removed by slow evaporation under a stream of nitrogen, and the resultant extracts were stored at -40°C.

Gas chromatography of fatty acid methyl esters (FAME). FAME of the extracts described above were prepared by alkali-catalyzed transmethylation.

FAME separations were achieved on a 30 m X 0.25 mm i.d. Supelco (Bellefonte, PA) SP2340 fused-silica capillary column programmed at 90°C for 3 min., then 5°C/min to 210°C for 20 min on a Hewlett-Packard (Palo Alto, CA) Model 5880A gas chromatograph. The injector and flame ionization detector temperatures were

set at 220 and 250°C, respectively. Helium was used as the carrier gas at a linear velocity (\bar{u}) of 90 cm/s. One μL injections were split 50:1.

Sterols derivatization. Preweighed samples (0.1g) of the extracts described above containing cholesterol (0.2 mg) as the internal standard (ISTD) were saponified at 80°C for 1 h with 0.5mL of 50% KOH in ethanol. After cooling to room temperature 1.5 mL distilled water was added, and the free sterols were extracted two times with 5 mL redistilled *n*-hexane. The combined extracts were dried over Na₂SO₄ and taken to dryness under a stream of nitrogen. Dry pyridine (0.1 mL) was added, followed by an equal volume of BSTFA reagent.

Trimethylsilyl (TMS) ether derivatives of cholesterol, campesterol, stigmasterol, β-sitosterol, cycloartenol and 24-methylene cycloartanol were similarly prepared.

5

10

15

20

25

Gas chromatography of sterol-TMS ether derivatives. Sterol-TMS ether derivatives were separated on a 25 m X 0.25 mm i.d. Quadrex (New Haven, CT) 50% methylphenylsilicone fused-silica capillary column, programmed at 250°C for 37 min., then 10°C/min to 300°C for 5 min on a Hewlett-Packard Model 5890A gas chromatograph. The injector and flame-ionization detector temperatures were set at 300°C and 310°C, respectively. Helium was used as the carrier gas at a linear velocity (ū) of 25 cm/s. One μL injections were split 50:1. Quantitation was achieved by the ISTD technique (11). Peak identifications were made by comparison to the retention time (t_R) of authentic sterol-TMS ether derivatives and by mass spectral analysis.

Mass spectrometry (MS). Analyses were performed on a Hewlett-Packard Model 5987A GC-MS System. Electron ionization-MS (EI-MS) of the sterol-TMS ether derivatives was performed at 70 eV with a source temperature of 200°C, a scan range of 50-600 amu at a rate of 1.2 scans/s. Chromatographic conditions were identical to those described above.

High performance liquid chromatography (HPLC) of tocols. Analyses were performed on a Hewlett-Packard Model 1090 HPLC System with a Hewlett-Packard Model 1046A programmable fluorescence detector. Tocol separations were achieved on a 25 cm X 4.6 mm, 5_Supelcosil (Supelco) LC-Si column held at 45°C. The mobile phase consisted of 8% (by vol) redistilled *tert*-butyl-methyl ether in redistilled *n*-hexane at a flow rate of 1.8 mL/min. Components were detected by fluorescence where excitation (λ_{ex}) and emission (λ_{cm}) wavelengths were set at 290 and 325 nm, respectively. Fifty μ L of 2.5% (wt/vol) fat solutions in redistilled *n*-hexane were injected. Tocols were quantitated by the external standard technique (12), and peak identifications were made by comparison to t_rs of authentic tocopherol and tocotrienol standards.

5

10

15

20

25

Example 2

A variety of raw materials were analyzed to determine their phytosterol levels using the analytical procedures described in Example 1. The results are shown in Table 1. Most commercial oils, except for rice oil, corn oil, and canola oil, as well as the oils from various nuts were found to contain very low sterol levels. Roasted cocoa hull oils, however, were found to contain nearly three times the sterol levels found in rice bran oils. Rice germ and rice hulls were found to contain nearly double the amount of sterols found in the bran oils, whereas peanut hulls and peanut germ showed increases in sterols, but the levels were still three-fold less than that found in rice bran oils.

Example 3

The effects of fermentation and roasting on the sterol content of the cocoa hulls was determined. The results are shown in Table 2. The results show that roasting did not cause the loss of sterols although there were considerable variations in the amount of oil recovered. The total sterols recovered from three different samples of roasted cocoa hulls fluctuated as a consequence of the amounts of oils recovered from these samples. This data differed from that obtained with unroasted cocoa hulls where two-fold higher sterol levels were found

in much lower amounts of extracted oils. It is possible that variable amounts of cocoa fat permeate into the hull which cause variable recoveries and sterol levels.

Example 4

This gross difference does not effectively detail the types of "bound" sterols present in any oil from their "free" forms since "bound" sterols can occur as glycosides, fatty acid esters and ferulates. Any additional analytical information on their distribution and composition will have to be provided by fractionating the oil by chromatography (LSC).

5

10

15

20

25

Combined capillary gas chromatography (GC) and gas chromatography (GC/MS) mass spectra analysis were used to examine the sterol composition of the extracted cocoa oils. As shown in Figure 1, a typical sterol separation was encountered as well as the presence of several unknowns.

Example 5

Capillary Supercritical Fluid Chromatography (CSFC) was also used to determine whether a more effective method could be developed to discriminate between "free" and "bound" sterols (i.e., sterols which are glycosides, fatty acid esters, and/or ferulates). Through the use of authentic standards and standard addition techniques, clusters of peaks were identified as the free fatty acid, and mono-, di- and triglyceride portions of the oil. See Figure 2 for details of the separation which was carried out on a Lee Scientific Supercritical Fluid Chromatograph.

After saponification, another more pronounced cluster of peaks occurred. This particular cluster represented the total sterols (free and hydrolyzed) present in each oil. These results show that the CSFC separation is useful to assay unsaponified oils for "free" sterols content and after saponification, to assay for the total sterols content. The difference between the two values is the amount of "bound" sterols present in the oil. However, the CSFC separation did not possess the resolution capability to separate each individual sterol as well as by HRGC. As a consequence, it is possible that components other than the sterols can be present

in this cluster. The method of Example 3 was therefore used to quantitate total sterols in saponified oils and the CSFC was used to quantitate "free" sterols in unsaponified oils.

A comparison of the two sets of data appears in Table 3. It was found that rice bran oil contained the highest level of "bound" sterols, while olive oil contained essentially all "free" sterols. Considerable variation existed among the other oils. The roasted cocoa hull oil contained approximately 10% "bound" sterols.

5

25

Example 6

10 The cocoa hull oil can be subjected to liquid-solid chromatography (LSC) to obtain various fractions containing components of different polarity. A typical LSC fractionation consists of eluting 100 mg of the oil through a short column of silica gel (40μ particle size) pre-equilibrated with hexane. An elutropic elution starts with hexane followed by incremental amounts of diethyl ether in hexane.

15 Three column void volumes are collected for each change of solvent and ten fractions are collected. Each fraction is concentrated under nitrogen and chromatographed by CSFC. The same fractions are then saponified and rechromatographed by CSFC to determine which fraction produces hydrolyzable sterols. Through this process, a particular LSC fraction was found to be enriched with "bound" sterols. The CSFC chromatography of this fraction indicated a cluster of components which coeluted with part of the triglyceride fraction.

This fraction was then reconcentrated and subjected to mass spectrometry via a direct insertion probe. The resultant mass spectrum should produce a distinguishing cluster of even molecular ions. An expanded view of part of this spectrum is shown in Figure 3 along with a printout of the individual ion intensities. It is recognized that some of these even ions may be the molecular ions for different "bound" sterols. Hence, a determination of even mass fragmentation ions corresponding to calculated molecular weights of sterols esterified to ferulic acid and various fatty acids are presented in Table 5. On the basis of the ion

intensity data, an estimate of the relative abundance for each "bound" sterol can be determined. It should be noted that several "bound" sterols are possible based on the molecular weight calculation (see the sterols having molecular weights of 664, 676, 678).

Now that the preferred embodiments of the invention have been described in detail, various modifications and improvements thereon will become readily apparent to the practitioner. Accordingly, the spirit and scope of the present invention are to be limited only by the appended claims, and not by the foregoing specification.

5

TABLE 1 - mg Sterols/100g Oil

Samule	Campestero I	Stigmasterol	24-Methyl Cholestanol 3- 8-0l	ß- Sitosterol	Obtusifoliol	Stigmastenol Cycloartenol	Cycloartenol	24-Methylene Cycloartanol	Total Sterols
Rice Bran Oils		0							
Batch A	241	188	41	763	UR	16	227	35	1,586
Batch B	300	221	45	606	UR	92	256	104	1,927
Batch C	248	174	38	704	UR	69	290	140	1,663
Rice Germ*	540	225	26	1027	611	96	669	534	3,263
Rice Hull*	394	398	QN	943	ΩN	169	925	313	3,295
Olive Oil	Trace	Trace	ND	78	Trace	25	Trace	ΩN	103
Soybean Oil	19	58	ND	158	Trace	Trace	Trace	NΩ	281
Canola Oil	205	Trace	Q.	351	ΩN	Trace	QN	NΩ	556
Com Oil	294	170	Trace	209	ΩN	24	163	200	1,458
Peanut Oil	28	Trace	Trace	117	Trace	Trace	Trace	Trace	145
Peanut Hull*	88	44	Q	433	ND	ND	QN	ND	265
Peanut Germ*	144	29	N	479	Trace	38	QN	ND	069
Almond*	QN	ND	QN	223	QN	ND	ND	ND	223
Hazelnut*	QN	QN	QN	114	ND	ND	ND	ΩN	114
Oat Bran*	56	Trace	QN	184	Trace	Trace	Trace	20	230
Cocoa Butter	Trace	69	QN	136	UR	Trace	QN	ΩN	205
Cocoa Hulls*	572	478	N	3,288	ND	37	263	36	4,674

* Oils obtained by Soxhlet extraction with petroleum ether UR – Unresolved component ND – Not detected Trace – less than 20 mg sterol/100 g of oil

TABLE 2 - mg Sterol/100g Oil

	è			, d			24- Mothylone	Total
Sample	% Oil Recovered	Campesterol	Stigmasterol	Deta-Sitosterol	Stigmastenol	Stigmastenol Cycloartenol	Cycloartenol	Sterols
	ļ							
Roasted Cocoa Hulls						;	ì	
Batch A	3.05	572	478	3,288	37	263	36	4,6/4
Batch B	10.05	88	176	561	Trace	26	Trace	851
Batch C	2.60	562	578	3,049	Trace	186	Trace	851
Hulls from sun-dried Brazilian cocoa beans fermented for 1 day	0.65	997	1,461	5,832	27	145	Trace	8,462
Hulls from sun-dried Brazilian cocoa beans fermented for 3 days	1.03	1,245	1,451	7,092	308	368	21	10,485
Hulls from sun-dried Brazilian cocoa beans fermented for 5 days	0.61	109	511	3,180	Trace	191	Trace	3,961

Trace = less than 20 mg sterol/100g oil

Table 3: Sterol Levels in Saponified and Unsaponified Cocoa Oils

	mg Sterols/100 gm Oil		Percent "Free" Sterols
Sample	Unsaponified ¹	Saponified ²	Present in Oil
Rice Bran Oil	209	1,663	13
Rice Germ Oil	658	3,263	20
Olive Oil	117	113	~100
Canola Oil	172	556	31
Corn Oil	277	1,458	20
Soybean Oil	197	283	70
Oat Bran Oil	82	230	36
Cocoa Butter	86	205	42
Roasted Cocoa Hull Oil	4,396	4,674	94

- 1. CSFC Analysis
- 2. Method of Example 1

Table 4: Possible "Bound" Sterol Structures Which Can Be Determined by Mass Spectrometry

Calculated Molecular Weight	Corresponding Possible "Bound" Sterol Structure
576	Campesteroyl ferulate
590	B-Sitosteroyl ferulate
602	Cycloartenoyl ferulate
650	Stigmasteroyl palmitate
662	Campesteroyl linoleate
664	Cycloartenoyl palmitate
	or Campesteroyl oleate
674	Stigmasteroyl linoleate
676	Stigmasteroyl oleate
	or B-Sitosteroyl linoleate
678	24-Methylene cycloartanoyl palmitate
	β-Sitosteroyl oleate
	or Stigmasteroyl stearate
688	Cycloartenoyl linoleate
690	Cycloartenoyl oleate
702	24-Methylene cycloartanoyl lineoleate

What Is Claimed:

1. A cocoa oil extracted from cocoa hulls which comprises phytosterols.

- 2. The oil of Claim 1, wherein the oil further comprises tocopherols and tocotrienols.
- 5 3. The oil of Claim 1, wherein the phytosterols are campesterol, β-sitosterol, stigmasterol, cycloartenol, 24-methylene cycloartanol.
 - 4. The oil of Claim 1, wherein the phytosterols are a mixture of free and bound sterols.
- 5. The oil of Claim 4, wherein the free sterols are up to about 90% of the phytosterols present in the oil.
 - 6. The oil of Claim 5, wherein the bound phytosterols are the fatty acid ester or ferulate derivatives of the phytosterols.
 - 7. The oil of Claim 1, wherein the cocoa hulls are from dried, unfermented or fermented cocoa beans.
- 15 8. The oil of Claim 1, wherein the cocoa hulls are from micronized cocoa beans.
 - 9. The oil of Claim 1, wherein the cocoa hulls are from roasted cocoa beans.
 - 10. The oil of Claim 1, wherein the cocoa hulls are from *Theobroma cacao*.
 - 11. A cocoa oil which is prepared by a process comprising the steps of:
- a) grinding cocoa hulls;
 - b) treating the ground cocoa hulls with a solvent which extracts the phytosterols and tocols;
 - c) removing the solvent; and
 - d) recovering the cocoa oil.
- 25 12. A cocoa oil which comprises phytosterols extracted from cocoa hulls, for use in a method of medical treatment of a human or an animal body by therapy.
 - 13. A purified cocoa oil extracted from cocoa hulls, which oil comprises phytosterols.
- 30 14. A cocoa oil extracted from cocoa hulls which comprises ferulated phytosterols for use as an antioxidant.

15. A process for extracting a cocoa oil comprising phytosterols from cocoa hulls, which comprises the steps of:

- a) grinding the cocoa hulls;
- b) treating the ground cocoa hulls with a solvent which extracts the
- 5 phytosterols and tocols;

15

- c) removing the solvent; and
- d) recovering the cocoa oil.
- 16. The process of Claim 15, wherein the solvent is selected from the group consisting of petroleum ether, hexane, pentane, and ethyl ether.
- 10 17. The process of Claim 15, wherein the solvent is petroleum ether.
 - 18. The process of Claim 15, wherein the solvent is recovered by vacuum distillation.
 - 19. The process of Claim 15, further comprising the step of purifying the cocoa oil by preparative high pressure liquid chromatography or column chromatography.
 - 20. The process of Claim 15, wherein the cocoa hulls are from dried, unfermented or fermented cocoa beans.
 - 21. The process of Claim 15, wherein the cocoa hulls are from micronized cocoa beans.
- 20 22. The process of Claim 15, wherein the cocoa hulls are from roasted cocoa beans.
 - 23. The process of Claim 15, wherein the cocoa hulls are from *Theobroma* cacao.
 - 24. A food containing the cocoa oil of Claim 13.
- 25 25. A dietary supplement containing the cocoa oil of Claim 13.
 - 26. A pharmaceutical containing the cocoa oil of Claim 13.
 - 27. A pharmaceutical composition comprising a pharmaceutically acceptable carrier or diluent and the cocoa oil of Claim 13.
 - 28. A cosmetic containing the cocoa oil of Claim 13.

